



Audit Committee

# People & Communities (Housing & Communities)

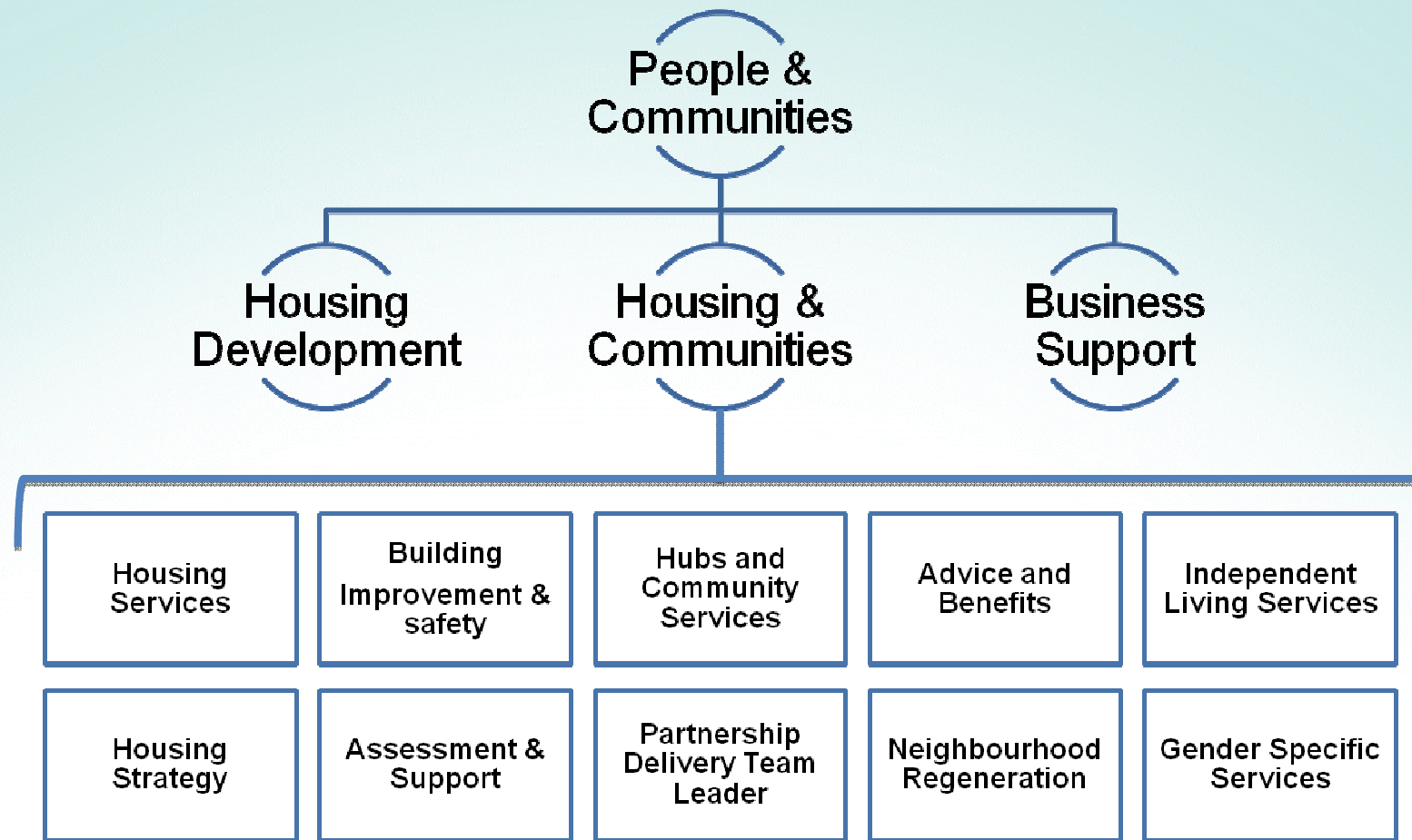
13<sup>th</sup> November 2018



Gweithio dros Gaerdydd, gweithio gyda'n gilydd  
Working for Cardiff, working together



# High Level Organisational Chart





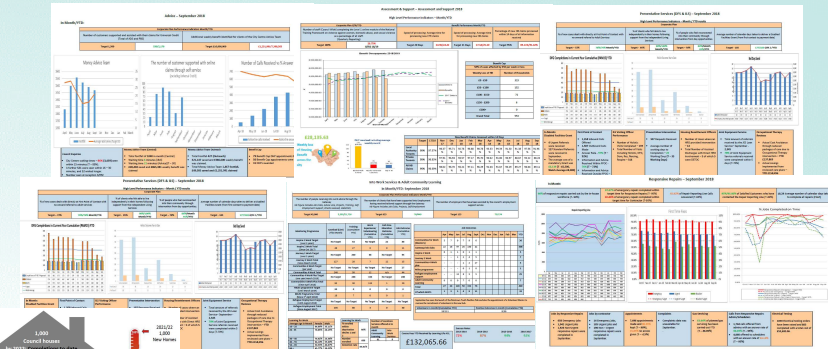
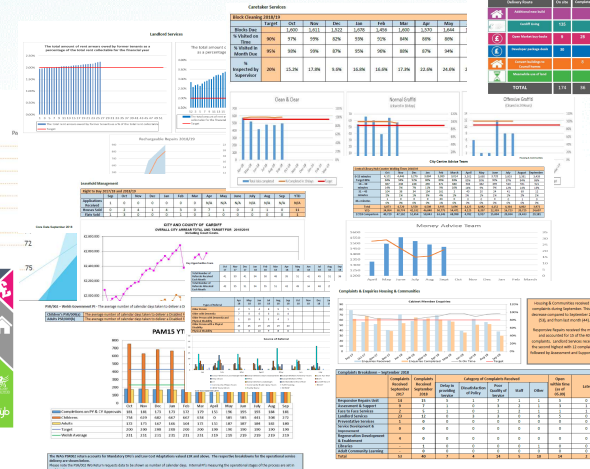
# Business Planning Framework



# Performance Framework – Core Data

There is a strong performance management framework with the Directorate

Each Section has their own A3 highlight sheet that displays key demand information and their key performance indicators



Sitting below this is a comprehensive Core Data Set

These are produced on a monthly basis and this comprehensive approach to performance management acts as the management control mechanism for all the directorate risks





# Management / mitigation of the directorate's risks on the Corporate Risk Register

Both Corporate and Operational Risks are reviewed regularly to keep them live documents

The directorate has ownership of one risk on the **Corporate Risk Register**, Welfare Reform, which continues to be mitigated through a range of proactive services and will need constant monitor and review following the implementation of Universal Credit Full Service.

There is Fire Safety, an **Escalated Risk** which sits with Housing & Communities for Council Housing but also with Economic Development as the Corporate Landlord for Council Premises, for all other Council Buildings.

Each Section have their own **Operational Risk Register** and there are currently 38 risks identified and managed on these lists

Ref	Risk Description	Responsible Directorate	Impact	Current Status	Responsible Directorate	Impact	Current Status	Owner
1	2. Welfare Reform The risk of welfare reform being implemented without adequate preparation and support for claimants, leading to increased hardship and homelessness.	Welfare Reform	High	Escalated	Welfare Reform	High	Escalated	Welfare Reform

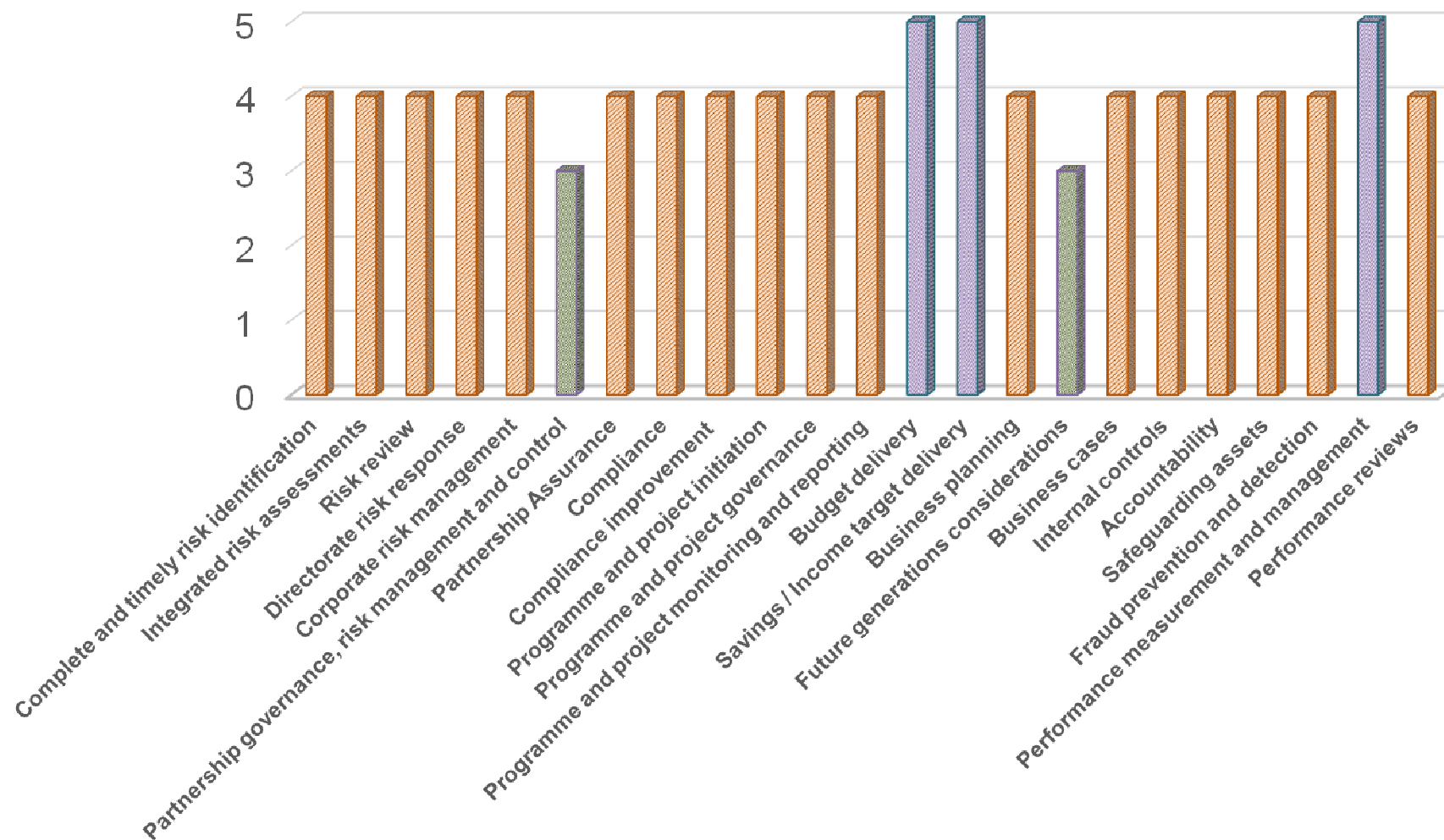
Ref	Risk Description	Responsible Directorate	Impact	Current Status	Responsible Directorate	Impact	Current Status	Owner
2	3. Fire Safety The risk of fire safety incidents occurring in council buildings, leading to loss of life, injury, and property damage.	Housing & Communities	High	Escalated	Housing & Communities	High	Escalated	Housing & Communities

Ref	Risk Description	Responsible Directorate	Impact	Current Status	Responsible Directorate	Impact	Current Status	Owner
3	4. Financial Management The risk of financial mismanagement, leading to budget overruns and reduced service quality.	Economic Development	Medium	Medium	Economic Development	Medium	Medium	Economic Development



# People and Communities SMAS 2018/19 (Mid Year)

1. Not in Place 2. Limited Application 3. Mixed Application 4. Strong Application 5. Embedded



# Monitoring / implementing recommendations made in Internal Audit reports

Audit	Status	Assurance rating	Number of recommendations
<b>Commissioning and procurement</b>	Final report issued	Effective with opportunity for improvement	5
<b>Effective decision making</b>	Final report issued	Effective	0
<b>Communities First</b>	Final report issued	Effective	2
<b>Governance</b>	Final report issued	Effective	0
<b>Payroll</b>	Final report issued	Effective with opportunity for improvement	12

As at 22<sup>nd</sup> October 2018 of the 19 recommendations 18 have been completed there remains 1 recommendation that are still in process. Working with internal audit this will continue to be monitored through to completion.





Any questions?

